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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DO/PTO Rev. 6/95 U.S. Department of Commerce Patent and Trademark Office DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket Number	4147-10-PUS
	First Named Inventor	GODDARD, John G.
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
	Examiner Name	

As below named inventor, I hereby declare that::

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for which a patent is sought on the invention entitled:

"COMPOSITIONS CONTAINING LYSOPHOSPHOTIDIC ACIDS WHICH INHIBIT APOPTOSIS AND USES THEREOF"

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 17 March 1999 as United States Application Number or PCT International

Application Number PCT/US99/05943 and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any Pct international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
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I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)		
60/078,375	March 18, 1998	<input type="checkbox"/>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

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☒ Firm Name OR **SHERIDAN ROSS P.C.** Customer Number or label
☐ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
ZINGER, DAVID F.	29,127	STAVISH, SABRINA CROWLEY	33,374
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CONNELL, GARY J	32,020	KUGLER, BRUCE A.	38,942
CROOK, WANNELL M.	31,071	BRUNELLI, ROBERT R.	39,617

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Name	THERESA A. BROWN		
Address	1560 BROADWAY, SUITE 1200		
Address			
City	DENVER	State	COLORADO
Zip	80202-5141		
Country	UNITED STATES OF AMERICA	Telephone	(303) 863-9700
Fax	(303) 863-0223		

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Name of Joint Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	John	Middle Initial	G.	Family Name	GODDARD	Suffix	e.g. Jr.
Inventor's Signature					Date	19 Aug, 2	
Residence City	San Francisco	State	California	Country	USA	Citizenship	USA
Post Office Address	110 Congo Street						
Post Office Address							
City	San Francisco	State	California	Zip	94131	Country	USA
Applicant Authority							

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Name of Joint Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name **Donald** Middle Initial **H.** Family Name **PICKER** Suffix e.g. Jr.

Inventor's Signature  Date **Aug 21, 2008**

Residence City **Warren** State **New Jersey** Country **USA** Citizenship **USA**

Post Office Address **20 Broadway Road**

Post Office Address

City **Warren** State **New Jersey** Zip **07059** Country **USA** Applicant Authority

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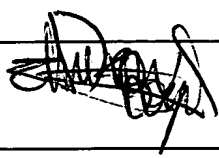
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Name of Joint Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Edward	Middle Initial	A	Family Name	BOYD	Suffix e.g. Jr.			
Inventor's Signature					Date	2A-8-2000			
Residence City	Didcot	State	Oxon	Country	United Kingdom	Citizenship	United Kingdom		
Post Office Address	61 Tamar Way								
Post Office Address									
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Given Name	Samuil	Middle Initial	R.	Family Name	UMANSKY	Suffix	e.g. Jr.
------------	--------	----------------	----	-------------	---------	--------	----------

Inventor's Signature		Date	8/17/00
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Residence City	Richmond	State	California	Country	USA	Citizenship	USA
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Post Office Address	6034 Monterey Avenue
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Post Office Address	
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City	Richmond	State	California	Zip	94805	Country	USA	Applicant Authority	
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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)		
60/078,375	March 18, 1998	<input type="checkbox"/>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Washington, DC 20231

Type a plus sign (+) inside this box → ☐

DECLARATION	Page 2
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I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name OR SHERIDAN ROSS P.C. Customer Number or label

☐ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
ZINGER, DAVID F.	29,127	STAVISH, SABRINA CROWLEY	33,374
GROSETH, CRAIG C.	31,713	HANSEN, LEWIS D.	35,536
TOMPKINS, MICHAEL L.	30,980	KOVARIK, JOSEPH E.	33,005
BLAKELY, TODD P.	31,328	SWARTZ, DOUGLAS W.	37,739
CONNELL, GARY J	32,020	KUGLER, BRUCE A.	38,942
CROOK, WANNELL M.	31,071	BRUNELLI, ROBERT R.	39,617

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to: ☐ Customer Number or label OR ☐ Fill in correspondence address below

Name THERESA A. BROWN

Address 1560 BROADWAY, SUITE 1200

Address

City DENVER State COLORADO Zip 80202-5141

Country UNITED STATES OF AMERICA Telephone (303) 863-9700 Fax (303) 863-0223

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Joint Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Anthony	Middle Initial	D	Family Name	BAXTER	Suffix	e.g. Jr.
------------	---------	----------------	---	-------------	--------	--------	----------

Inventor's Signature Anthony Date 22/05/11

Residence City	Abindgon	State	Oxon	Country	United Kingdom	Citizenship	United Kingdom
----------------	----------	-------	------	---------	----------------	-------------	----------------

Post Office Address 1 Kingfisher Close

Post Office Address

City	Abindgon	State	Oxon	Zip	OX14 5NP	Country	United Kingdom	Applicant Authority	
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☐ Additional inventors are being named on supplemental sheet(s) attached hereto.

[illegible]

Type a plus sign (+) inside this box → ☐

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DO/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	4147-10-PUS
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		First Named Inventor	GODDARD, John G.
		<i>COMPLETE IF KNOWN</i>	
		Application Number	
		Filing Date	
		Group Art Unit	
		Examiner Name	

☐ Declaration Submitted with Initial Filing

OR

☐ Declaration Submitted after Initial Filing

As below named inventor, I hereby declare that::

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for which a patent is sought on the invention entitled:

"COMPOSITIONS CONTAINING LYSOPHOSPHOTIDIC ACIDS WHICH INHIBIT APOPTOSIS AND USES THEREOF"

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 17 March 1999 as United States Application Number or PCT International

Application Number PCT/US99/05943 and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any Pct international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)		
60/078,375	March 18, 1998	<input type="checkbox"/>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

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DECLARATION

Page 2

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name OR **SHERIDAN ROSS P.C.** Customer Number or label

☐ List attorney(s) and/or agent(s) name and registration number below:

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BLAKELY, TODD P.	31,328	SWARTZ, DOUGLAS W.	37,739
CONNELL, GARY J	32,020	KUGLER, BRUCE A.	38,942
CROOK, WANNELL M.	31,071	BRUNELLI, ROBERT R.	39,617

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to: ☐ Customer Number or label ☐ Fill in correspondence address below

OR ☐ Fill in correspondence address below

Name: **THERESA A. BROWN**

Address: **1560 BROADWAY, SUITE 1200**

Address:

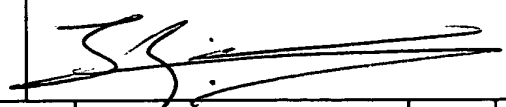
City: **DENVER** State: **COLORADO** Zip: **80202-5141**

Country: **UNITED STATES OF AMERICA** Telephone: **(303) 863-9700** Fax: **(303) 863-0223**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Joint Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name: **Jac** Middle Initial: Family Name: **WIJLMANS** Suffix: **e.g. Jr.**

Inventor's Signature:  Date: **29/10/00**

Residence City: **Abingdon** State: **Oxon** Country: **United Kingdom** Citizenship: **United Kingdom**

Post Office Address: **30 Alexander Close**

Post Office Address:

City: **Abingdon** State: **Oxon** Zip: **OX14 1XA** Country: **United Kingdom** Applicant Authority:

☐ Additional inventors are being named on supplemental sheet(s) attached hereto.

DECLARATION		ADDITIONAL and/or AGENT INFORMATION Supplemental Sheet	
Name	Registration Number	Name	Registration Number
HUGHES, RICHARD L.	31,264		
HANSRA, TEJPAL S.	38,172		
HARTJE, DANA L.	40,638		
DALLAS-PEDRETTI, ANGELA	42,460		
LIEB, BENJAMIN B.	42,801		
KNEPPER, BRADLEY M.	44,189		
BROWN, THERESA A.	32,547		
DRICKMAN, MIRIAM R.	42,499		
DUPRAY, DENNIS J.	46,299		

Type a plus sign (+) inside this box → ☐

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DO/PTO Rev. 6/95 DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	4147-10-PUS
		First Named Inventor	GODDARD, John G.
	COMPLETE IF KNOWN		
		Application Number	
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		Group Art Unit	
		Examiner Name	

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(Title of the Invention)

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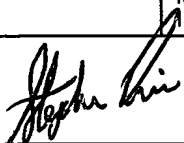
☒ Firm Name OR **SHERIDAN ROSS P.C.** Customer Number or label
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CONNELL, GARY J	32,020	KUGLER, BRUCE A.	38,942
CROOK, WANNELL M.	31,071	BRUNELLI, ROBERT R.	39,617

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Please direct all correspondence to:	<input type="checkbox"/> Customer Number or label	OR	<input type="checkbox"/> Fill in correspondence address below
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Address			
City	DENVER	State	COLORADO
Zip	80202-5141		
Country	UNITED STATES OF AMERICA	Telephone	(303) 863-9700
Fax	(303) 863-0223		

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Name of Joint Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Steven	Middle Initial	
Family Name	PRICE	Suffix e.g. Jr.	
Inventor's Signature			Date
Residence City		Aylesbury	State
Bucks		Country	United Kingdom
Citizenship		United Kingdom	
Post Office Address		24 Eskdale Road, Stokemandeville	
Post Office Address		8.22406100	
City	Aylesbury	State	Bucks
Zip	HP22 5UJ	Country	United Kingdom
Applicant Authority			

☐ Additional inventors are being named on supplemental sheet(s) attached hereto.

Type a plus sign (+) inside this box →

DECLARATION		ADDITIONAL and/or AGENT INFORMATION Supplemental Sheet	
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KNEPPER, BRADLEY M.	44,189		
BROWN, THERESA A.	32,547		
DRICKMAN, MIRIAM R.	42,499		
DuPRAY, DENNIS J.	46,299		

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the small business concern, Oxford Asymmetry Limited of 151 Milton Park, Abingdon, Oxfordshire LX14 4SD, United Kingdom.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "COMPOSITIONS CONTAINING LYSOPHOSPHOTIDIC ACIDS WHICH INHIBIT APOPTOSIS AND USES THEREOF" and identified as Attorney File No. 4147-10-PUS, described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME LXR Biotechnology, Inc.
ADDRESS 3095 Richmond Parkway, Suite 213, Richmond, California 94806
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: 22/08/00 By: Dr. Andrew Baxter
Print Name: DR ANDREW BAXTER
Title: CHIEF SCIENTIFIC OFFICER

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the small business concern, LXR Biotechnology, Inc. of 3095 Richmond Parkway, Suite 213, Richmond, California 94806, United States of America.

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*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME Oxford Asymmetry Limited
ADDRESS 151 Milton Park, Abingdon, Oxfordshire LX14 4SD, United Kingdom
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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Date: 9/11/00

By: Paul J. Hastings
Print Name: PAUL J. HASTINGS
Title: President Director